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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,656	11/09/2001	Juichiro Ukon	103120-00027

004372
ARENT FOX KINTNER PLOTKIN & KAHN
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RECEIVED
DEC 3 2001
ARENT FOX

CONFIRMATION NO. 5596

FORMALITIES LETTER



OC000000007122301

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$982.
 - \$702 for 39 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1852.

03/05/2002 SSALEEKU 00000022 09986656

Adjustment date: 03/05/2002 SSALEEKU
02/04/2002 NBERHE 00000114 09986656
03 FC:103 -702.00-0P

01 FC:103 576.00 0P

*A copy of this notice **MUST** be returned with the reply.*

Repln. Ref: 03/05/2002 SSALEEKU 0014374400
DAB:012300 Name/Number:09986656
FC: 704 \$126.00/CR

02/04/2002 NBERHE 00000114 09986656
01 FC:101
02 FC:105
03 FC:103
04 FC:104

740.00 0P
130.00 0P
702.00 0P
250.00 0P

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ATTN: Box Missing Parts

UKON et al.

Examiner: To Be Assigned

Application No.: 09/986,656

Group Art Unit.: To Be Assigned

Filed: November 9, 2001

Atty. Docket No.: 103120-00027

For: METHOD FOR MONITORING AND/OR CONTROLLING THE STATUS OF A PLASMA IN A PLASMA SPECTROMETER AND SPECTROMETER FOR IMPLEMENTING SUCH A METHOD

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

January 30, 2002

Sir:

In response to the Notice to File Missing Parts of Application dated November 27, 2001, (copy attached), the following are enclosed:

- ☒ \$130 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$740 as payment for the filing fee;
- ☒ \$280 as payment for multiple claims;
- ☒ \$702 as payment for extra claims over 20;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☒ Originally executed Assignment with Form PTO-1595; and
- ☒ \$40.00 as payment for the recordation of the Assignment.
- ☒ \$110.00 as payment for the one-month Petition For Extension of Time.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please find attached a check in the amount of \$2,002.00 to cover the fees described above. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

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